Complex Signatures of Selection and Gene Conversion in the Duplicated Globin Genes of House Mice

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ABSTRACT

Results of electrophoretic surveys have suggested that hemoglobin polymorphism may be maintained by balancing selection in natural populations of house mice, Mus musculus. Here we report a survey of nucleotide variation in the adult globin genes of house mice from South America. We surveyed nucleotide polymorphism in two closely linked α-globin paralogs and two closely linked β-globin paralogs to test whether patterns of variation are consistent with a model of long-term balancing selection. Surprisingly high levels of nucleotide polymorphism at the two β-globin paralogs were attributable to the segregation of two highly divergent haplotypes, Hbb (which carries two identical β-globin paralogs) and Hbb' (which carries two functionally divergent β-globin paralogs). Interparalog gene conversion on the Hbb haplotype has produced a highly unusual situation in which the two paralogs are more similar to one another than either one is to its allelic counterpart on the Hbb haplotype. Levels of nucleotide polymorphism and linkage disequilibrium at the two β-globin paralogs suggest a complex history of diversity-enhancing selection that may be responsible for long-term maintenance of alternative protein alleles. The alternative two-locus β-globin haplotypes are associated with pronounced differences in intracellular glutathione and nitric oxide metabolism, suggesting a possible mechanism for selection on hemoglobin function.

Natural populations of house mice, Mus musculus, exhibit high levels of hemoglobin polymorphism and evidence suggests that allelic variation in the β-chain subunit polypeptides is maintained by some form of balancing selection (reviewed in Berry 1978). In Mus, as in other gnathostome vertebrates, the α- and β-chain subunits of the tetrameric hemoglobin protein are encoded by different sets of duplicated genes that are located on different chromosomes. In M. musculus, the α-chain subunits of adult hemoglobin are encoded by two duplicated genes that are separated by 12.0 kb on chromosome 11, and the β-chain subunits are encoded by two duplicated genes that are separated by 12.6 kb on chromosome 7 (Figure 1). A number of distinct two-locus α-globin haplotypes have been described among different inbred strains of M. musculus (Erhart et al. 1985). Some strains carry two-locus α-globin haplotypes in which the two paralogs encode identical polypeptides, while other strains carry haplotypes in which the two paralogs are distinguished by 9–11 amino acid substitutions (Hutton et al. 1962; Popp 1973; Gilman 1976; Leder et al. 1980; Erhart et al. 1985).

Electrophoretic surveys of hemoglobin polymorphism among inbred strains of house mice have revealed two main β-globin variants, HBB-S and HBB-D. Strains that carry the Hbb haplotype (e.g. C57BL/6J) possess two β-globin paralogs that encode identical polypeptides. β-Globins produced by Hbb mice migrate during electrophoresis as a dimer with α-globin and produce a single band (hence the ‘S’ designation; Whitney 1978). Strains that carry the Hbb' haplotype (e.g. BALB/cByJ) possess two β-globin paralogs that encode structurally distinct polypeptides. β-Globins produced by Hbb' mice migrate during electrophoresis as a dimer with α-globin and produce double bands (hence the ‘D’ designation). Electrophoretic surveys of natural populations of house mice have revealed that the HBB-S and HBB-D electrophorphs are maintained at intermediate frequencies in...
geographically disparate populations across the species’ range, a pattern that is not paralleled at other unlinked loci (Petras 1967; Selander and Yang 1969; Selander et al. 1969a,b; Berry and Murphy 1970; Myers 1974; Berry and Jakobson 1975; Berry and Peters 1975, 1977, 1981; Berry et al. 1978; Sage 1981; Sage et al. 1986). In both Old and New World populations, the β-globin genes consistently exhibit the highest levels of heterozygosity in multilocus surveys of protein polymorphism (Selander and Yang 1969; Selander et al. 1969b) and a number of researchers have suggested that the allelic variation is maintained by overdominance of fitness or some other form of balancing selection (Selander et al. 1969b; Berry and Murphy 1970; Wheeler and Selander 1972; Myers 1974; Berry and Peters 1975, 1977; Berry 1978; Berry et al. 1978; Petras and Topping 1983). A number of studies have documented pronounced shifts in β-globin allele frequencies between seasons and between age classes (Berry and Murphy 1970; Bellamy et al. 1973; Myers 1974; Berry and Peters 1975, 1977; Berry 1978; Berry et al. 1978; Petras and Topping 1983), suggesting that temporally varying selection may play a role in maintaining the polymorphism. Some authors have also suggested that patterns of geographic variation in β-globin allele frequencies may reflect local adaptation to different climatic regimes (Selander et al. 1969b; Wheeler and Selander 1972).

The various α- and β-chain hemoglobin isoforms of house mice are characterized by different oxygen-binding affinities (Newton and Peters 1983; D’Sorney and Popp 1992), and allelic variation in β-chain cysteine content exerts a strong influence on intraerythrocyte glutathione and nitric oxide (NO) metabolism (Giustarini et al. 2006; Hempe et al. 2007). Despite the well-documented

Figure 1.—Genomic structure of the α- and β-globin gene families of M. musculus. Location of the two adult α-globin paralogs, HBA-T1 and HBA-T2, on chromosome 11 (top). Location of the two adult β-globin paralogs, HBB-T1 and HBB-T2, on chromosome 7 (bottom).
functional differences between the s and d-type β-globin alleles and the suggestive evidence for balancing selection, a mechanistic link between allelic variation in hemoglobin function and fitness-related physiological performance has yet to be established.

Since modifications of hemoglobin function often play a key role in adaptation to high-altitude hypoxia (Perutz 1983; Monge and León-Velarde 1991; Poyart et al. 1992; Storz 2007), surveys of hemoglobin variation between high- and low-altitude populations may be especially informative about the role of selection in shaping patterns of functional variation at globin genes. In high-altitude environments, the reduced partial pressure of oxygen results in reduced pulmonary oxygen loading such that arterial blood may not carry a sufficient supply of oxygen to the cells of respiring tissues (Turek et al. 1973; Bencowitz et al. 1982; Bouverot 1985). This reduced level of tissue oxygenation can impose severe constraints on aerobic metabolism (Hayes 1989). One of the most important mechanisms to compensate for a reduced arterial partial pressure of oxygen involves increasing the circulatory conductance of oxygen in the bloodstream. This can be accomplished by increasing hemoglobin concentration in the blood or by changing the oxygen-binding affinity of hemoglobin (Storz 2007).

In mammals, the former mechanism appears to be more important in the acclimation response to hypoxia in species that are native to lowland environments. By contrast, the latter mechanism appears to be more important in species that are native to high-altitude environments and are therefore genetically adapted to chronic hypoxia (Bullard 1972; Lenfant 1973; Monge and León-Velarde 1991; Hochachka and Somero 2002). It is unclear what mechanisms of adaptation or physiological acclimation may be important in species that have only recently colonized high-altitude environments.

M. musculus is a predominantly lowland, palaeartic species that has recently colonized high-altitude environments in the Andes of South America. House mice colonized the New World within the past several hundred years in conjunction with human movement and settlement (Auffray et al. 1990; Guenet and Bonhomme 2003), and they have succeeded in colonizing a diverse range of environments, including alpine habitats of the Bolivian Altiplano at elevations of over 4000 m. If the ability of these mice to survive and function at such altitudes is at least partly attributable to adaptive modifications of the oxygen transport system, it seems likely that the adaptive changes would involve standing genetic variation that was carried over from the ancestral source population in Europe or Asia.

Here we report a survey of nucleotide variation in the adult globin genes of high- and low-altitude house mice from South America. Specifically, we surveyed nucleotide variation in the two closely linked α-globin paralogs on chromosome 11, HBA-T1 and HBA-T2, and the two closely linked β-globin paralogs on chromosome 7, HBB-T1 and HBB-T2. The main objectives of this study were to: (i) characterize levels and patterns of nucleotide polymorphism in the duplicated globin genes of wild house mice; (ii) test whether patterns of sequence variation are consistent with a model of long-term balancing selection; and (iii) determine whether standing variation in hemoglobin function has played a role in adaptation to recently colonized high-altitude environments in the Andes. We also collected polymorphism data from unlinked nuclear and mitochondrial loci to use as a basis for comparison with the globin genes and to shed light on the historical origins of house mice in South America.

MATERIALS AND METHODS

A total of 20 M. musculus were collected from several sea-level localities in the immediate vicinity of Lima, Peru (n = 10), and several high-altitude localities in the immediate vicinity of La Paz, Bolivia (3272–3646 m above sea level; n = 10). Genomic DNA was extracted from frozen liver tissue of each mouse using DNeasy kits (Qiagen, Valencia, CA). We surveyed nucleotide variation across the complete coding region and flanking regions of the two α-globin paralogs, HBA-T1 and HBA-T2 (both sequenced fragments were 1468 bp long), and the two β-globin paralogs, HBB-T1 and HBB-T2 (both fragments were 1477 bp long). Following the nomenclature of Aguilera et al. (2006), HBA-T1 and HBA-T2 refer to the 5′ and 3′ α-globin paralogs, respectively, and HBB-T1 and HBB-T2 refer to the 5′ and 3′ β-globin paralogs, respectively (Figure 1). Following the convention of Dickerson and Geis (1983), each amino acid residue is indexed with an alphabetical code that designates its position relative to the eight α-helical domains (A–H) of the individual globin polypeptides.

The four globin genes were PCR-amplified using Ampli-taq Gold chemistry (Applied Biosystems, Foster City, CA) under the following cycling parameters: 94°C (120 sec) initial denaturation, 94°C (30 sec), 58°C (30 sec), 72°C (60 sec) 30×, and a final extension at 72°C (120 sec). The same PCR primers were also used for sequencing reactions, although the annealing temperature was increased to 60°C. After first obtaining direct sequence data for each of the four globin genes, we then cloned both alleles from all individuals that were heterozygous at multiple sites. Specifically, we cloned diploid PCR products into pCR4-TOPO vector (Invitrogen, Carlsbad, CA) and we then sequenced six or more clones per locus. M13 primers were used to amplify cloned products (35 cycles annealing temperature) and internal T7/T3 primers were used for sequencing. By sequencing diploid PCR products as well as cloned alleles, it is possible to control for singleton nucleotide changes that are introduced by cloning and sequencing errors. In our sequence data, all singleton nucleotide changes that were initially identified as heterozygous sites in the direct sequencing results were confirmed as true mutations if they were subsequently recovered in one or more cloned alleles. For each of the four globin genes, the exact haplotype phase of all heterozygous sites was determined experimentally. We therefore obtained complete diploid genotypes for all mice in the sample.

For the purpose of assessing the genealogical structure of the sample of house mice we used mitochondrial DNA sequence variation from the complete cytochrome b gene (1144 bp) and the control region (1063 bp, including the flanking tRNAs), yielding a concatenated alignment of 2207 bp. For the phylogenetic analysis, we added mtDNA sequences from the
20 South American specimens to an alignment that included a
global reference sample of *M. m. musculus, M. m. domesticus,*
and several closely related species of Mus. We assembled dif-
terent reference panels for cytochrome *b* and control region
sequences. All mtDNA sequences in the reference panels were
downloaded from GenBank. The cytochrome *b* reference
sequences were taken from the studies of LUNDRIKAN et al.
(2002), PFUNDEr et al. (2004), SUZUKI et al. (2004), and
TERASHIMA et al. (2006), and the control region reference
sequences were taken from the studies of PRAGER et al. (1995,
1998). Specimen identification numbers and GenBank access-
sion numbers for all mice included in the reference panels are
listed in supplemental Table 1 at http://www.genetics.org/
supplemental/. We also included homologous sequence data from the
c57BL/6J and BALB/cByJ inbred strains (BAYONA-BALAFUY et al.
2003; ACIN-PEREZ et al. 2004).

For the purpose of making comparisons with the 4 globin
genomes, we also surveyed variation in an additional nuclear lo-
cus, intron 7 of β-fibrinogen (718 bp), which is located at map
position 48.2 cM on chromosome 3. After first obtaining direct
sequence data for the β-fibrinogen intron, we then cloned both
alleles from all individuals that were heterozygous at multiple
sites. As with the globin genes, the haplotype phase of all het-
erozygous sites was determined experimentally.

All sequencing reactions and samples were run on an ABI
3730 capillary sequencer using Dye Terminator chemistry (Ap-
plied Biosystems). For each of the nuclear and mitochondrial
loci, primer sequences and nucleotide positions of sequenced
gene regions are given in supplemental Table 2 at http://
www.genetics.org/supplemental/. All sequences have been
deposited in GenBank (accession nos. EF005348–EF005508).
In addition to measuring variation within the sample of
South American mice, we also estimated nucleotide divergence
to orthologous sequences from the brown Norway rat, *Rattus
norvegicus,* and two inbred strains of *M. musculus, c57Bl/6J*
and BALB/cByJ. The Rattus α-globin sequences were taken
from the NCBI genome build 3.4 (accession no. NW_047334)
and β-globin sequences were taken from the Ensemble ge-
nome assembly RGS3.4 (accession nos. ENSRGN00000033465
and 29719 for HBB-T1 and HBB-T2, respectively). c57Bl/6J
sequences were taken from the NCBI genome build 36.1 (ac-
cession nos. NT_039515 for the α-globin genes and NT_
039433 for the β-globin genes), and BALB/cByJ sequences
were taken from a clone-based, alternate assembly of NCBI
genome build 36.1 (accession no. NT_035534).

GENETIC DATA ANALYSIS

Alignment: DNA sequences were aligned and contigs
were assembled using ClustalW (THOMPSON et al. 1994).
All sequences were verified by visual inspection of chro-
matograms. All alignments were straightforward with
the exception of HBB-T2. A portion of the second in-
tron of this gene could not be unambiguously aligned
due to a high density of insertions and deletions, and
the corresponding sites (positions 827–1277 in the align-
ment) were therefore treated as missing data.

Analysis of phylogenetic relationships: We re-
structured mtDNA phylogenies of house mice using
neighbor-joining and maximum likelihood tree-building
methods. Neighbor-joining analyses were based on
the matrix of uncorrected *p* distances and were con-
ducted with the program MEGA ver 3.1 (KUMAR et al.
2004). The maximum-likelihood tree searches were
conducted in Treefinder ver. May 2006 (JOBB et al.
2004), and this program was also used to estimate pa-
rameters of a GTR+I model of nucleotide substitution.
In the maximum likelihood analyses, we used three in-
dependent data partitions for each codon position in
the cytochrome *b* alignment, and we used a single par-
tition for the control region alignment. We evaluated
support for the relevant nodes with 100 bootstrap rep-
licates (FELSENSTEIN 1985).

Analysis of population structure: To test for evidence
of nucleotide differentiation between the high- and low-
altitude samples, we conducted locus-specific permuta-
tion tests based on two summary statistics: *K̂st* (HUDSON
et al. 1992) and *Ŝst* (HUDSON 2000). The *K̂st* statistic
provides a measure of sequence differentiation based on
the partitioning of nucleotide diversity within and be-
 tween samples. The *Ŝst* statistic measures how often hap-
lotypes that are nearest neighbors in sequence space are
derived from the same sample locality. To test for dif-
f erences in the frequencies of alternative protein alleles
between the high- and low-altitude samples, we performed
exact tests on contingency tables of amino acid haplo-
types (RAYMOND and ROUSSET 1995).

Detection of recombination and gene conversion: To
detect evidence of intragenic recombination, we used
the four-gamete test of HUDSON and KAPLAN (1985) to es-
 timate the minimum number of recombination events
in the history of the sample. To detect evidence of gene
conversion between paralogous gene duplicates on the
same chromosome and to estimate the number and
length of ectopic conversion tracts within each locus, we
used the method of BETRAN et al. (1997). Specifically,
we aligned sequences from each pair of globin paralogs
and used a maximum likelihood algorithm to estimate
*ψ*, the per-site probability of detecting a gene conversion
event between the two paralogous sequences. Conversion
tract lengths were then estimated as *L* = *T̂R* − *T̂L* + 1 − *G*,
where *T̂L* (5') and *T̂R* (3') are the site positions of the
outermost informative sites within a congruent tract of
nucleotide polymorphisms that are shared between the
two paralogs, and *G* is the number of alignment gaps
between *T̂L* and *T̂R*.

Analysis of nucleotide polymorphism: We estimated
two different measures of DNA sequence variation: nu-
cleotide diversity, π (NEI and LI 1979), which is based
on the average number of pairwise differences between
sampled alleles, and *θ̂w* (WATTerson 1975), which is
based on the total number of segregating sites in the
sample. To assess whether observed distributions of al-
lele frequencies deviated from the expectations of a
neutral-equilibrium model, we conducted neutrality
tests based on TAJIMA’s (1989) *D* statistic. This statistic
measures the standardized difference between π and
*θ̂w* which provide independent estimates of the neutral
parameter θ (= 4*Nu*, where *N* is the effective popula-
tion size and *μ* is the per-nucleotide mutation rate). To
obtain critical values for Tajima’s *D*, we generated a null
distribution of the test statistic by conducting 10,000
coalescent simulations under a Wright-Fisher model. The
simulations were conditioned on the observed number
of segregating sites. We used the same simulation-based
approach to perform the haplotype tests of Depaulis
and Veuille (1998), which assess whether the observed
haplotype number (K-test) and haplotype diversity (H-
test) conform to the expectations of a neutral-equilibrium
model. To measure levels of intragenic linkage disequi-
librium (LD), we assessed the significance of pairwise
associations between informative, biallelic nucleotide
polymorphisms using Fisher’s exact test with Bonferroni-
adjusted critical values. To assess whether the observed
levels of intragenic LD deviated from the expectations
of a neutral-equilibrium model, we conducted neutral-
ality tests based on Kelly’s (1997) Z\textsubscript{nu} statistic. As with the
neutrality tests based on Tajima’s \( D \), haplotype number,
and haplotype diversity, we obtained critical values for
the \( Z_{\text{nu}} \) statistic by conducting 10,000 coalescent simu-
lations that were conditioned on the observed number
of segregating sites. The simulated genealogies were
generated with no recombination, which makes the test
conservative for the purpose of rejecting neutrality. For
each combination of linked paralogs, we tested the null
hypothesis that genotypes at one locus were independ-
et of genotypes at the other locus by performing
exact tests on contingency tables of diploid genotypes.

To assess whether ratios of polymorphism to diver-
gence deviated from the expectations of a neutral model,
we conducted a multilocus Hudson–Kreitman–Aguadé
(HKA) test (Hudson et al. 1987) using the nuclear loci
and the concatenated mtDNA sequences. We jointly
estimated neutral parameters from all six loci to obtain
expected values for the HKA test, and we then used the
resultant parameter estimates to conduct coalescent
simulations using the HKA program (http://lifesci.rutgers.
edu/~heylab/HeylabSoftware.htm). Orthologous se-
quence from Rattus was used to estimate locus-specific
levels of nucleotide divergence. Summary statistics of
nucleotide polymorphism, divergence, and LD were
computed with the programs SITES (Hey and Wakeley
1997) and DnaSP v4.10 (Rozas et al. 2003).

RESULTS

Ancestry and population structure: Maximum likeli-
hood and neighbor-joining phylogenetic analyses of
mtDNA sequences indicate that all specimens collected
from Lima and La Paz are referable to the western Eu-
ropean subspecies \( M. m. domesticus \) (Figure 2). Trees based
on the control region and cytochrome \( b \) sequences were
very similar, as were the levels of bootstrap support for
the corresponding nodes, although the control region tree
provided higher resolution of relationships among \( M. m.
\) domesticus specimens. The control region and cytochrome
\( b \) trees both revealed that the South American mice fell
into two separate clades with high bootstrap support. In
the control region tree (Figure 2A), one clade con-
tained all 10 Lima specimens, 6/10 La Paz specimens,
and 1 reference specimen from Peru, and the other
clade contained the remaining La Paz specimens. The
same La Paz specimens also fell in different clades in the
cytochrome \( b \) tree (Figure 2B). Average genetic distan-
ces between these clades were 1.1% for the control re-
gion tree and 0.9% for the cytochrome \( b \) tree.

Since house mice colonized the New World within the
past several hundred years, the mtDNA phylogeny of
our South American sample primarily captures the ge-
ealogical structure of the ancestral source population.
The genealogical structure of our sample of haplotypes
is not likely to be informative about recent historical
population structure in South America because there is
not a sufficient level of mutational resolution. The fact
that our sample of South American mice fell into two
distinct clades could indicate that these mice (or at least
the mice from La Paz) represent a heterogeneous mix-
ture of \( M. m. domesticus \) that are descendants of genet-
ically distinct founding stocks. However, surveys of mtDNA,
Y-chromosome, and X-chromosome variation in Euro-
pean \( M. musculus \) have revealed no relationship between
genotype and phylogeny, and highly divergent haplo-
types are often sampled from the same locality (Tucker
et al. 1989; Nachman et al. 1994; Nachman 1997). More-
over, silent site diversity in our pooled sample of mtDNA
haplotypes from Lima and La Paz is very similar to values
reported for broad regional areas within Europe (0.0060
vs. 0.0069; Nachman et al. 1994). Thus, the level of mtDNA
variation in our sample of South American mice is sim-
ilar to that observed in population samples of \( M. m.
domesticus \) from the species’ ancestral range.

Comparison of the high- and low-altitude samples (La
Paz and Lima, respectively) revealed significant levels of
nucleotide differentiation at three of the polymorphic
loci: HBA-T1, \( \beta \)-fibrinogen, and mtDNA. By contrast, no
significant differentiation was evident at HBB-T1 or
HBB-T2 (Table 1).

Levels and patterns of nucleotide variation at nuclear
genomes: In the total sample of 20 mice, the average level
of silent site diversity at the 5 nuclear loci was \( \pi = 0.0063 \)
and ranged from a low of \( \pi = 0.0000 \) at HBA-T2 to a high of
\( \pi = 0.0180 \) at HBB-T2. Average silent site diversity
based on the number of segregating sites was \( \theta = 0.0069 \)
and ranged from a low of \( \theta = 0.0000 \) at HBA-T2 to a high of
\( \theta = 0.0199 \) at HBB-T1. The two \( \beta \)-globin paralogs,
HBB-T1 and HBB-T2, were characterized by much higher
levels of nucleotide polymorphism than the remaining
three nuclear loci (Table 2).

Observed levels of silent site diversity at HBA-T1,
HBA-T2, and \( \beta \)-fibrinogen (mean \( \pi = 0.0012 \), range =
0.0000–0.0022) were very similar to levels of variation
that have been reported for noncoding autosomal and
X-linked loci in samples of \( M. m. domesticus \) from western
Europe and southwestern Asia (Nachman 1997; Harr
2006; Baines and Harr 2007) (Table 3). This pattern is consistent with the results of allozyme surveys that indicate that levels of heterozygosity in New World house mice are typically commensurate with levels observed in samples of M. m. domesticus from western Europe (Selander and Yang 1969; Selander et al. 1969a,b; Sage 1981).

Although levels of silent site diversity in mtDNA and three nuclear genes (HBA-T1, HBA-T2, and β-fibrinogen) were closely similar to reported estimates from Old World M. m. domesticus (Nachman et al. 1994; Nachman 1997; Harr 2006; Baines and Harr 2007), levels of silent site diversity at the two β-globin paralogs, HBB-T1 and HBB-T2, were surprisingly high. Observed silent site diversities at HBB-T1 and HBB-T2 in the sample of South American mice (π = 0.0099 and 0.0180, respectively) exceeded the upper range of values reported for population surveys of nuclear genes in Old World M. m. domesticus (Table 3) and also exceeded the upper range of silent site diversities for 19 nuclear loci that were surveyed in a pooled sample of wild-derived inbred strains of M. musculus that were representative of different subspecies (mean π = 0.0041, range = 0.0000–0.0081; Takahashi et al. 2004). Results of a multilocus HKA test revealed that the observed disparity in silent site diversity between HBB-T1 and the other four unlinked loci cannot be reconciled under a neutral model of molecular evolution (Table 4). Although HBB-T2 exhibited a similar deviation from neutral expectations, this locus violates assumptions of the HKA test because most of the
seggregating variation at HBB-T2 is attributable to gene conversion from the paralogous HBB-T1 gene (discussed below). For this reason, we have excluded HBB-T2 from analyses that are based on the infinite-sites mutation model.

In addition to the higher-than-expected level of silent site diversity at HBB-T1, this gene was also characterized by a significant excess of low-frequency polymorphisms (Tajima’s $D = -1.893$, $P = 0.0120$). By contrast, the other unlinked polymorphic loci were characterized by an excess of intermediate-frequency polymorphisms: Tajima’s $D$ values ranged from 0.277 for the concatenated mtDNA sequence to 2.435 for $\beta$-fibrinogen. In fact, the observed excess of intermediate-frequency polymorphisms at $\beta$-fibrinogen was statistically significant ($P = 0.0020$).

Thus, the distributions of allele frequencies at HBB-T1 and the other unlinked loci were skewed in completely opposite directions. Only 0.57% of the simulated data sets from the multilocus HKA test exhibited a higher variance of Tajima’s $D$ values. The HBB-T1 gene was also characterized by an excess number of haplotypes ($K$-test, $P = 0.0050$) and a nearly significant excess of haplotype diversity ($H$-test, $P = 0.0690$), a pattern that was not observed at the other unlinked loci. The fact that HBB-T1 and the other unlinked loci are characterized by such different site-frequency distributions and haplotype distributions is consistent with results of the multilocus HKA test in suggesting that the observed departures from neutral-equilibrium expectations are attributable to effects that are specific to HBB-T1.

### Table 1

**Permutation tests of nucleotide differentiation between high- and low-altitude samples**

<table>
<thead>
<tr>
<th>Locus</th>
<th>$K_{st}$</th>
<th>$S_m$</th>
</tr>
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<tbody>
<tr>
<td><strong>Globin genes</strong></td>
<td></td>
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</tr>
<tr>
<td>HBA-T1</td>
<td>0.2978</td>
<td>0.7355</td>
</tr>
<tr>
<td>(P &lt; 0.0001)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>HBB-T1</td>
<td>-0.0018</td>
<td>0.5324</td>
</tr>
<tr>
<td>(P = 0.4040)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>HBB-T2</td>
<td>0.0129</td>
<td>0.5197</td>
</tr>
<tr>
<td>(P = 0.2260)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Unlinked loci</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$\beta$-Fibrinogen</td>
<td>0.2158</td>
<td>0.6071</td>
</tr>
<tr>
<td>(P = 0.0090)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cytochrome $b +$ control region</td>
<td>0.1792</td>
<td>1.0000</td>
</tr>
<tr>
<td>(P &lt; 0.0001)</td>
<td></td>
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</tbody>
</table>

The HBA-T2 gene was invariant and was therefore not included in the analysis.

### Table 2

**Summary of nucleotide polymorphism at nuclear and mitochondrial loci in South American house mice**

<table>
<thead>
<tr>
<th>Locus</th>
<th>Length (bp)</th>
<th>Sample</th>
<th>$N^e$</th>
<th>$S^e$</th>
<th>$H$</th>
<th>$H_1$</th>
<th>$\pi$ (silent)</th>
<th>$\theta_W$ (silent)</th>
<th>$K$ (silent)</th>
<th>$\pi/K$</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Globin genes</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HBA-T1</td>
<td>1468</td>
<td>Lima</td>
<td>20</td>
<td>4</td>
<td>3</td>
<td>0.542</td>
<td>0.0011</td>
<td>0.0006</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>La Paz</td>
<td>20</td>
<td>3</td>
<td>4</td>
<td>0.553</td>
<td>0.0007</td>
<td>0.0009</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total</td>
<td>40</td>
<td>7</td>
<td>6</td>
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<td>0.0000</td>
<td>0.0000</td>
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<td>HBB-T1</td>
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<td>Lima</td>
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<td>Lima</td>
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<td></td>
<td>La Paz</td>
<td>14</td>
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<td>3</td>
<td>0.473</td>
<td>0.0017</td>
<td>0.0013</td>
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<td></td>
<td>Total</td>
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<td>4</td>
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<td>0.0022</td>
<td>0.0011</td>
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<td>Lima</td>
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<td>0.0060</td>
<td>0.0057</td>
<td>0.3085</td>
<td>0.0196</td>
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</table>

$^*$Number of sampled chromosomes.

$^\dagger$Number of segregating sites.

$^\ddagger$Number of haplotypes.

$^\S$Haplotype diversity.

$^\T$Jukes-Cantor corrected divergence to Rattus.
Amino acid variation, haplotype structure, and gene conversion: Two distinct α-globin protein haplotypes were observed in the sample of South American mice. There was a single fixed amino acid difference between the HBA-T1 and HBA-T2 paralogs, 68(E17)Ser → Asn, and there were two amino acid polymorphisms in HBA-T1, 25(B6)Gly/Val, and 62(E11)Val/Ile, that were monomorphic in HBA-T2 (Figure 3). Consequently, these mice are capable of synthesizing three distinct α-chain polypeptides, two of which are encoded by HBA-T1 and one that is encoded by the monomorphic HBA-T2 paralog. Each of these amino acid sequences has been previously described in different inbred strains of Mus musculus. The two-locus α-globin haplotype containing the ancestral ‘25Gly/62Val’ allele at HBA-T1 is fixed in the SM/J strain, and the alternative haplotype containing the derived ‘25Val/62Ile’ allele at HBA-T1 is fixed in the SWR/J strain (Hilse and Popp 1968; Popp et al. 1982; Erhart et al. 1987). According to the conventional nomenclature of mouse genetics, the latter two-locus haplotype is referred to as the HbaD haplotype and the former is referred to as the HbaD haplotype (Erhart et al. 1987). There was no evidence of recent gene conversion between the two α-globin paralogs of the South American mice, as none of the nucleotide polymorphisms in HBA-T1 were segregating a derived variant that was characteristic of the HBA-T2 haplotype background. In the sample of HBA-T1 alleles there was no evidence of intragenic recombination or interallelic gene conversion. Consistent with the apparently low rate of recombination at HBA-T1, significant LD was detected at 6 of 15 pairwise comparisons between informative nucleotide polymorphisms. However, the overall level of intragenic LD did not exceed neutral-equilibrium expectations ($Z_{	ext{obs}} = 0.9977, P > 0.05$).

Similar to the case with the α-globin paralogs, two main β-globin protein haplotypes were observed in the sample of South American mice. The allelic and nonallelic patterns of β-globin amino acid variation are graphically summarized in Figure 4. One of the inferred haplotypes contained two β-globin paralogs that encode identical polypeptides, while the other haplotype contained two paralogs that were distinguished by nine amino acid substitutions: 9(A6)Ala → Ser, 16(A13)Gly → Ala, 20(B2)Ser → Ala/Pro, 58(E2)Ala → Pro, 73(E17)Asp → Glu, 76(EF1)Asn → Lys, 77(EF2)His → Asn, 80(EF5)Ser → Asn, and 109(G11)Met → Ala (Figure 3). Consequently, these mice are capable of synthesizing three distinct β-globin polypeptides, one of which is encoded by identical HBB-T1 and HBB-T2 paralogs on the same haplotype, and the remaining two are encoded by distinct paralogs on the alternative haplotype. Each of these two-locus β-globin haplotypes has been previously described in different inbred strains of Mus musculus. The two-locus

### Table 3

Levels of nucleotide diversity at noncoding nuclear loci in population samples of Old World Mus musculus domesticus

<table>
<thead>
<tr>
<th>Source</th>
<th>Chromosome</th>
<th>No. of loci</th>
<th>Mean no. bp/locus</th>
<th>Mean no. sampled chromosomes/locus</th>
<th>Mean $\pi$ (range)</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Italy</td>
<td>X linked</td>
<td>4</td>
<td>1505.5</td>
<td>10</td>
<td>0.0010 (0.0000–0.0021)*</td>
<td>Nachman (1997)</td>
</tr>
<tr>
<td>Germany</td>
<td>X linked</td>
<td>6</td>
<td>522.5</td>
<td>7.8</td>
<td>0.0007 (0.0000–0.0009)*</td>
<td>Baines and Harr (2007)</td>
</tr>
<tr>
<td>France</td>
<td>X linked</td>
<td>6</td>
<td>522.5</td>
<td>7.8</td>
<td>0.0001 (0.0000–0.0008)*</td>
<td>Baines and Harr (2007)</td>
</tr>
<tr>
<td>Iran</td>
<td>X linked</td>
<td>6</td>
<td>522.0</td>
<td>11.0</td>
<td>0.0016 (0.0000–0.0032)*</td>
<td>Baines and Harr (2007)</td>
</tr>
<tr>
<td>Germany</td>
<td>Autosomal</td>
<td>14</td>
<td>595.7</td>
<td>15.4</td>
<td>0.0025 (0.0000–0.0073)</td>
<td>Harr (2006)</td>
</tr>
<tr>
<td>Germany</td>
<td>Autosomal</td>
<td>7</td>
<td>959.3</td>
<td>15.7</td>
<td>0.0013 (0.0000–0.0033)</td>
<td>Baines and Harr (2007)</td>
</tr>
<tr>
<td>France</td>
<td>Autosomal</td>
<td>7</td>
<td>955.3</td>
<td>16.0</td>
<td>0.0026 (0.0002–0.0074)</td>
<td>Baines and Harr (2007)</td>
</tr>
<tr>
<td>Iran</td>
<td>Autosomal</td>
<td>7</td>
<td>1080.4</td>
<td>15.7</td>
<td>0.0033 (0.0007–0.0059)</td>
<td>Baines and Harr (2007)</td>
</tr>
</tbody>
</table>

*Reported values were multiplied by 4/3 to account for different effective population sizes of X-linked and autosomal loci.

### Table 4

Multilocus HKA test involving all loci other than HBB-T2

<table>
<thead>
<tr>
<th>Locus</th>
<th>$I$</th>
<th>$\theta$ (2.5–97.5%)</th>
<th>$S/ES$</th>
<th>Var</th>
<th>Dev</th>
<th>$D/ED$</th>
<th>Var</th>
<th>Dev</th>
</tr>
</thead>
<tbody>
<tr>
<td>HBB-T1</td>
<td>1.00</td>
<td>0.0053 (0.0036–0.0076)</td>
<td>81/30.65</td>
<td>118.98</td>
<td>21.31</td>
<td>141/191.35</td>
<td>244.66</td>
<td>10.36</td>
</tr>
<tr>
<td>HBA-T1</td>
<td>1.00</td>
<td>0.0042 (0.0029–0.0060)</td>
<td>5/25.30</td>
<td>82.58</td>
<td>4.99</td>
<td>156/135.70</td>
<td>162.51</td>
<td>2.54</td>
</tr>
<tr>
<td>HBA-T2</td>
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<td>0.0054 (0.0036–0.0077)</td>
<td>0/31.79</td>
<td>124.44</td>
<td>8.12</td>
<td>205/171.21</td>
<td>213.89</td>
<td>4.73</td>
</tr>
<tr>
<td>β fibr</td>
<td>1.00</td>
<td>0.0053 (0.0034–0.0083)</td>
<td>3/14.84</td>
<td>38.22</td>
<td>3.67</td>
<td>54/42.16</td>
<td>44.75</td>
<td>3.31</td>
</tr>
<tr>
<td>mtDNA</td>
<td>0.25</td>
<td>0.0062 (0.0042–0.0088)</td>
<td>25/11.43</td>
<td>27.96</td>
<td>6.59</td>
<td>300/313.57</td>
<td>323.06</td>
<td>0.57</td>
</tr>
</tbody>
</table>

$\chi^2_{df-1} = 65.996, P < 0.000001$. $I$, inheritance scalar (= 1.00 for autosomal loci, and 0.25 for mtDNA); $S$, observed number of segregating sites; $ES$, expected number of segregating sites; $D$, observed divergence to Rattus; $ED$, expected divergence.
haplotype with identical HBB-T1 and HBB-T2 paralogs (the \( Hbb \) haplotype) is fixed in the C57BL/10 strain and the alternative two-locus haplotype with the distinct HBB-T1 and HBB-T2 paralogs (the \( Hbb \) haplotype) is fixed in the BALB/cByJ strain (Erhardt et al. 1985). These two-locus \( \beta \)-globin haplotypes also encode the HBB-D and HBB-S protein electromorphs that have been studied extensively in populations of \( M. \) musculus throughout the world (Petras 1967; Selander and Yang 1969; Selander et al. 1969a,b; Berry and Murphy 1970; Myers 1974; Berry and Jakobson 1975; Berry and Peters 1975, 1977, 1981; Berry et al. 1978; Sage 1981; Sage et al. 1986). At the HBB-T1 gene, the \( s \)- and \( d \)-type alleles are distinguished by three nearly fixed amino acid differences: 13(A10)Gly \( \rightarrow \) Cys, 20(B2)Ser \( \rightarrow \) Ala, and 139(H17)Thr \( \rightarrow \) Ala (Figure 4). These amino acid substitutions are due to nonsynonymous changes at positions 163, 184, and 1389 (Figure 5A) and are henceforth referred to as fixed differences, although in each case the frequency of the minor SNP allele is \( 1/15 \) in the \( d \)-type allele class. At the HBB-T2 gene, the \( s \)- and \( d \)-type alleles are distinguished by 11 fixed amino acid differences: 9(A6)Ala \( \rightarrow \) Ser, 13(A10)Gly \( \rightarrow \) Cys, 16(A13)Gly \( \rightarrow \) Ala, 20(B2)Ala \( \rightarrow \) Pro, 58(E2)Ala \( \rightarrow \) Pro, 73(E17)Asp \( \rightarrow \) Glu, 76(EF1)Asn \( \rightarrow \) Lys, 77(EF2)His \( \rightarrow \) Asn, 80(EF5)Ser \( \rightarrow \) Asn, 109(G11)Met \( \rightarrow \) Ala, and 139(H17)Thr \( \rightarrow \) Ala (Figure 4). These fixed differences between \( s \)- and \( d \)-type alleles at both \( \beta \)-globin paralogs represent the best candidate sites for selection if alternative protein alleles are in fact maintained as a balanced polymorphism.

The haplotype dichotomy observed at the amino acid level is also clearly evident at the nucleotide level (Figure 5), and the distinction between \( s \)- and \( d \)-type alleles at both \( \beta \)-globin paralogs is readily apparent in distance-based phylogenetic trees (Figure 6). Comparisons between \( s \)- and \( d \)-type allele classes revealed that the sample of \( s \)-type alleles at HBB-T1 was characterized by a higher level of nucleotide polymorphism and lower LD (Table 5), suggesting that the \( s \)-type allele may have been present at a higher relative frequency in the past. The sample of \( d \)-type alleles at HBB-T2 was the only allele class that did not exhibit a significant excess of low-frequency polymorphisms (Table 5), which likely reflects the different evolutionary histories of converted and nonconverted alleles.

Results of the four-gamete test revealed evidence for a single recombination event in the history of sampled HBB-T1 alleles and zero recombination events in the history of HBB-T2 alleles. Consistent with these apparently low rates of intragenic recombination, 105 of 153 pairwise comparisons between informative nucleotide polymorphisms exhibited significant LD at HBB-T1 and 528 of 703 such comparisons were significant at HBB-T2. Each of the two \( \beta \)-globin paralogs exhibited a highly significant excess of intragenic LD (HBB-T1: \( Z_{ns} = 0.601, P = 0.0100 \); HBB-T2: \( Z_{ns} = 0.774, P = 0.0010 \). In the case of HBB-T2, the excess LD is attributable
to nonrandom associations between converted and nonconverted alleles (see below). In addition to the high levels of intragenic LD, HBB-T1 and HBB-T2 were also characterized by a highly significant level of intergenic LD with respect to \( s \) and \( d \)-type alleles \((P = 0.0002, \text{Fisher’s exact test})\). Consequently, the majority of chromosomes that carry a \( d \)-type allele at one paralog also carry a \( d \)-type allele at the other paralog, and likewise for \( s \)-type alleles. For 17 of the mice in our sample, we recovered complete two-locus genotypes for both \( \beta \)-globin paralogs, and in 3 cases a mouse that was heterozygous for \( s \)-type and \( d \)-type alleles at one paralog was homozygous at the other paralog. The remaining 14 mice were either double heterozygotes or double homozygotes, suggesting that recombinant \( Hbb^d / Hbb^s \) haplotypes are present at a frequency of <10%.

Haplotypic-specific differences in divergence between HBB-T1 and HBB-T2 are clearly attributable to haplotype-specific differences in the history of interparalog gene conversion. As shown in Figure 7A, a phylogenetic reconstruction based on an alignment of flanking sequence that spans the 5′ UTR (nucleotides 1–123) accurately recovers the true orthologous relationships between the \( \beta \)-globin genes of Mus and Rattus. By contrast, a phylogenetic reconstruction based on an alignment of intron 2 (nucleotides 1130–1280) groups the \( s \)-type allele of HBB-T2 with paralogous HBB-T1 sequences (Figure 7B). On the \( Hbb^s \) haplotype, gene conversion has completely homogenized sequence variation between HBB-T1 and HBB-T2, and the level of interparalog nucleotide divergence across the coding region is uniformly low. As can be seen in Figure 8A, levels of nucleotide divergence increase dramatically in the 5′ and 3′ flanking regions of the coding sequence. The abrupt increases in sequence divergence demarcate the 5′ and 3′ boundaries of the gene conversion tract, which are located just outside of the translational start and stop codons (Erhart et al. 1987). On the \( Hbb^d \) haplotype, by contrast, there is no evidence of gene conversion between HBB-T1 and HBB-T2 and the two paralogs are much more divergent (Figure 8B). Due to the different histories of gene conversion on the two haplotypic backgrounds, average nucleotide divergence between the two paralogs on the \( Hbb^d \) background is nearly an order of magnitude higher than on the \( Hbb^s \) background \((D_v = 0.0400 \text{ vs. } 0.0053)\). As pointed out by Erhart et al. (1987), concerted evolution of HBB-T1 and HBB-T2 on the \( Hbb^d \) haplotype produces a highly unusual situation in which the two paralogs are more similar to one another than either one is to its allelic counterpart on the \( Hbb^s \) haplotype (Figure 4). Although we cannot definitively rule out the possibility that HBB-T1 has been on the receiving end of interparalog gene conversion at some point in its evolutionary history, the only conversion events that we detected involved HBB-T1 as the donor and HBB-T2 as the recipient. In addition to the high level of sequence similarity between HBB-T1 and HBB-T2 on the \( Hbb^s \) haplotype, some of the sequence similarity between the HBB-T1 allele on the \( Hbb^d \) haplotype and the HBB-T1 allele on the \( Hbb^s \) haplotype is attributable to interallelic gene conversion.

The algorithm of Betran et al. (1997) identified two HBB-T1 \( s \)-type alleles that harbored conversion tracts that were introduced from the \( d \)-type background and one HBB-T1 \( d \)-type allele that appears to have been partially converted by an \( s \)-type allele (Figure 5A). These interallelic conversion events presumably involved recombination between nonsister chromatids in \( Hbb^s / Hbb^d \) heterozygotes.

With the exception of the monomorphic HBA-T2 gene, each of the other three globin genes was characterized by a surprisingly high level of replacement polymorphism relative to synonymous polymorphism (Table 6). If alternative protein alleles are maintained as a balanced polymorphism at HBA-T1, HBB-T1, or HBB-T2, then this might be reflected by an elevated ratio of replacement:synonymous polymorphism relative to the ratio of replacements:synonymous fixed differences between species (McDonald and Kreitman 1991). Although McDonald–Kreitman tests were not significant for HBA-T1 or HBB-T2, the test revealed a significant excess of replacement polymorphism at HBB-T1 \((P = 0.046, \text{Fisher’s exact test})\). However, only 3 of the 23 replacement polymorphisms at HBB-T1 were present at frequencies >10% because they represent fixed differences between the intermediate-frequency \( s \) and \( d \)-type alleles: 13(A10)Gly/Cys, 20(B2)Ala/Ser, and 139(H17)Ala/Thr (Figure 5A).

**Figure 4.** Schematic illustration of allelic and nonallelic variation in the two house mouse \( \beta \)-globin paralogs, HBB-T1 and HBB-T2, on each of two haplotype backgrounds, \( Hbb^d \) and \( Hbb^s \). Numbers refer to pairwise amino acid differences between alleles or paralogous genes. In the sample of South American mice, HBB-T1 and HBB-T2 are in nearly complete LD with each other such that chromosomes that carry a \( d \)-type allele at HBB-T1 typically carry a \( d \)-type allele at HBB-T2 (the \( Hbb^d \) haplotype), and likewise for \( s \)-type alleles (the \( Hbb^s \) haplotype).
Levels and patterns of allelic divergence: If the s and d-type β-globin alleles are maintained as a long-term balanced polymorphism, population genetic theory suggests that comparisons of sequence variation between the two allele classes may reveal a pronounced peak in silent-site divergence that is centered on the selected site(s). This elevated level of divergence should then rapidly drop away as a function of recombinational distance from the site (or set of closely-linked sites) that constitutes the target of selection (Strobeck 1983; Hudson and Kaplan 1988; Kelly and Wade 2000; Slatkin 2000; Navarro and Barton 2002; Nordborg and Innan 2003; Charlesworth 2006). In the case of HBB-T1, 2 of the fixed amino acid differences between the s and d-type alleles are associated with a peak in silent site divergence in exon 1 (Figure 9). However, this peak is one of several equal-sized peaks that are spaced along the gene, so patterns of nucleotide variation provide no basis for attaching any special weight to residue positions 13 and 20 as candidate sites for balancing selection. Moreover, a sharply defined peak of divergence may not be very likely in this particular case due to the apparently low historical rate of recombination across the HBB-T1 gene region. In the case of HBB-T2, the s-type allele originated by ectopic gene conversion from the paralogous HBB-T1 gene, and in addition to the 11 fixed amino acid differences between the s and d-type alleles, the most obvious difference between the 2 allele classes is the localized cluster of indels in intron 2 of the d-allele that renders the sequences locally unalignable.

Altitudinal variation in house mouse hemoglobins: In our sample of South American mice, HBA-T1 was segregating two amino acid replacement polymorphisms: 25(Gly/Val) and 62(Asn/Ser). These 2 sites were monomorphic for the ancestral variant in the high-altitude La Paz sample, but the derived variants, 25(Val) and 62(Ile), were present at frequencies of 0.65 and 0.60, respectively, in the low-altitude Lima sample. Consequently, the alternative protein alleles exhibit highly significant frequency differences between the high- and low-altitude samples ($P < 0.0001$, Fisher’s exact test). The two amino acid sites were in nearly complete LD in the Lima sample ($D = 1.00$, $P < 0.0001$), reflecting the fact that the derived residues were in coupling phase in all but one of the three observed gametic types. As first

Figure 5.—Nucleotide polymorphism in the two β-globin paralogs of South American house mice, HBB-T1 and HBB-T2. For both genes, s-type alleles are shown in gray and d-type alleles are shown in white. Nonsynonymous polymorphisms are denoted by asterisks at the top of the alignments. In the HBB-T1 table of polymorphic sites (A), three interallelic gene conversion tracts are shown in boxes. In the HBB-T2 table of polymorphic sites (B), a dagger denotes the position of the localized cluster of insertion/deletion differences between the s and d-type alleles (nucleotide positions 827–1277) that results in unalignable sequence between the two allele classes.
pointed out by Erhart et al. (1987), the α-globin haplotype defined by the derived 25(B6)Val and 62(E11)Ile variants is unusual because the B6 and E11 residues are highly conserved in both hemoglobin chains and in myoglobin (Dickerson and Geis 1983). In almost all vertebrate globin chains studied to date, the B6 and E11 residues are glycine and valine, respectively. The pattern observed in Mus suggests that the paired substitutions may be compensatory (Erhart et al. 1987).

In contrast to the pattern of amino acid variation observed at HBA-T1, neither of the two β-globin paralogs exhibited any strikingly nonrandom pattern of amino acid variation with respect to altitude. In comparisons between the Lima and La Paz samples, there were no significant differences in the frequencies of s- and d-type alleles at HBB-T1 or HBB-T2 ($P = 0.7431$ and 0.5701, respectively; Fisher’s exact test).

**DISCUSSION**

In the sample of South American house mice, levels of silent site diversity in mtDNA and three nuclear genes (HBA-T1, HBA-T2, and β-fibrinogen) were closely similar to reported estimates from Old World population samples of *M. m. domesticus* (Nachman et al. 1994; Nachman 1997; Harr 2006; Baines and Harr 2007). However, the two β-globin paralogs, HBB-T1 and HBB-T2, were characterized by levels of silent site diversity that were over an order of magnitude higher than those observed at the other unlinked nuclear genes. The surprisingly high levels of nucleotide polymorphism at HBB-T1 and HBB-T2 were attributable to the segregation of two highly divergent haplotypes, *Hbb* and *Hbb*. These two-locus haplotypes were present at roughly equal frequency in the total sample of South American mice and exhibited no significant frequency differences with respect to altitude.

What is the explanation for the remarkably high levels of nucleotide polymorphism at the two β-globin paralogs? In the case of HBB-T2, the elevated level of polymorphism is attributable to the fact that one of the divergent alleles is the product of wholesale gene conversion by the 5′ paralog, HBB-T1. The converted, s-type allele and the ancestral, d-type allele are present at roughly equal frequencies, and therefore most of the nucleotide variation at HBB-T2 is partitioned between...
the two alternative haplotype backgrounds. Since most of the segregating variation at HBB-T2 originated by a process of ectopic gene conversion, this gene violates central assumptions of the infinite-sites mutation model and therefore invalidates the results of conventional neutrality tests such as the HKA test (INNAN 2003). Even though the HBB-T2 gene is not well suited to the application of neutrality tests that are based on specific mutation models, the occurrence of two highly divergent protein alleles at roughly equal frequencies is highly improbable under neutrality. A multilocus HKA test including all loci other than HBB-T2 was highly significant and showed that the most pronounced deviations from expected values were attributable to HBB-T1 (Table 4).

This result suggests that the observed level of silent site polymorphism at HBB-T1 may reflect a history of long-term balancing selection.

The observed level of nucleotide divergence between s- and d-type β-globin alleles suggests that they are quite old. Since alleles that have been maintained for long periods of time will have had more opportunities to recombine, neutral theory predicts that highly polymorphic genes should also be characterized by low levels of LD (KIMURA and OHTA 1973; SABETI et al. 2002; TOOMAJIAN et al. 2003). The observed pattern of variation at the two β-globin paralogs does not conform to this neutral expectation, as the unusually high level of polymorphism is also associated with extremely high LD.

**Figure 6.**—Neighbor-joining phylogenies of s- and d-type alleles from each of the two β-globin paralogs, HBB-T1 (A) and HBB-T2 (B). In A, the three most basal branches in the clade of d-type alleles (specimen IDs shown in bold with an asterisk) represent sequences that have undergone interallelic gene conversion. 'La Paz 021 HBB-T1 A' and 'Lima 138 HBB-T1 B' are s-type alleles that have been partially converted by a d-type sequence, whereas 'Lima 116 HBB-T1 A' is a d-type allele that has been partially converted by an s-type sequence. See Figure 5A for details regarding the length and composition of the conversion tracts.
This combination of high polymorphism and high LD has two possible explanations: (1) the divergent haplotypes are maintained as an ancient balanced polymorphism, or (2) the divergent haplotypes are attributable to admixture that occurred recently enough that there has not been sufficient time for LD to decay. According to the balancing selection hypothesis, the high level of polymorphism at HBB-T1 should be restricted to a relatively small chromosomal region. Even if epistatic selection is maintained two-locus HBB-T1/ HBB-T2 haplotypes as a multisite balanced polymorphism, theory predicts that the elevated polymorphism should fall away rapidly in the flanking regions upstream of the 5′ paralog (HBB-T1) and downstream of the 3′ paralog (HBB-T2) (Kelly and Wade 2000; Navarro and Barton 2002; Wu et al. 2004). Given that the 2 paralogs are separated by 12.6 kb (Figure 1), theory also suggests that epistatic selection would have to be extremely strong to counteract the randomizing effects of recombination across such a large chromosomal region (Kelly and Wade 2000). In contrast to the balancing selection hypothesis, the recent admixture hypothesis predicts that the haplotype dichotomy observed at the β-globin paralogs should also be evident in other regions of the genome. Moreover, the divergent haplotypes could potentially extend over long physical distances.

Results of previously published studies of electrophoretic protein variation suggest that the pattern of β-globin polymorphism that we observe in the South American mice is not anomalous, as the HBB-S and HBB-D electromorphs are present at surprisingly uniform frequencies in samples of M. musculus from across the globe (Petras 1967; Selander and Yang 1969; Selander et al. 1969a,b; Berry and Murphy 1970; Wheeler and Selander 1972; Myers 1974; Berry and Jakobson 1975; Berry and Peters 1975, 1977, 1981; Berry et al. 1978; Sage 1981; Sage et al. 1986). This suggests that the unusually high levels of nucleotide polymorphism and LD that we observe at HBB-T1 and HBB-T2 are not attributable to an idiosyncratic history of introgressive hybridization that is unique to South American house mice. Instead, it is possible that the two-locus β-globin polymorphism is being maintained in South American house mice by the same form of balancing selection that is maintaining the polymorphism across the rest of the species’ range.

Although the amino acid changes that distinguish the s- and d-type alleles are present at intermediate frequency, the HBB-T1 gene is otherwise characterized by an excess of low-frequency polymorphism. This pattern is paralleled at silent sites and is reflected by a significantly negative Tajima’s D value within each allele class and within the pooled sample of s- and d-type alleles. Although this type of skew in the distribution of allele frequencies is not expected under a simple model of overdominant selection, negative Tajima’s D values are consistent with certain models of temporally varying selection (Gillespie 1994). The fact that the s- and d-type allele classes were both characterized by an excess of low-frequency polymorphism suggests the possibility that both alleles have experienced a history of dramatic frequency changes, possibly due to some form of episodic or fluctuating selection. Temporal fluctuations in the effective sizes of the two allele classes may also explain the higher-than-expected haplotype number and haplotype diversity at HBB-T1. It appears that the β-globin genes of house mice have experienced an extremely

---

### TABLE 5

<table>
<thead>
<tr>
<th>Locus</th>
<th>Allele class</th>
<th>N</th>
<th>S</th>
<th>$\pi_{Total}$</th>
<th>$\pi_{Silent}$</th>
<th>$\theta_{Total}$</th>
<th>$\theta_{Silent}$</th>
<th>Tajima’s D</th>
<th>$Z_{ns}$</th>
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<tbody>
<tr>
<td>HBB-T1</td>
<td>s</td>
<td>21</td>
<td>68</td>
<td>0.0050</td>
<td>0.0055</td>
<td>0.0138</td>
<td>0.0150</td>
<td>−2.560</td>
<td>0.062</td>
</tr>
<tr>
<td></td>
<td>d</td>
<td>15</td>
<td>37</td>
<td>0.0036</td>
<td>0.0033</td>
<td>0.0082</td>
<td>0.0075</td>
<td>−2.367</td>
<td>0.112</td>
</tr>
<tr>
<td>HBB-T2</td>
<td>s</td>
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<td>5</td>
<td>0.0014</td>
<td>0.0020</td>
<td>0.0015</td>
<td>0.0023</td>
<td>−0.379</td>
<td>0.126</td>
</tr>
<tr>
<td></td>
<td>d</td>
<td>20</td>
<td>10</td>
<td>0.0011</td>
<td>0.0012</td>
<td>0.0028</td>
<td>0.0033</td>
<td>−2.145</td>
<td>0.114</td>
</tr>
</tbody>
</table>

Estimates of $Z_{ns}$ are based on the total number of segregating sites within each allele class. *P < 0.05.

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**Figure 7.**—Alternative neighbor-joining phylogenies that illustrate the effects of concerted evolution between HBB-T1 and HBB-T2 on the Hbb′ haplotype. In A, the phylogeny based on 5′ flanking sequence recovers orthologous relationships among rodent HBB-T1 and HBB-T2 sequences. In B, by contrast, the phylogeny based on intronic sequence does not recover the true orthologous relationships due to conversion of HBB-T2 by HBB-T1 on the Hbb′ haplotype.
complex history of selection and gene conversion that has had the net effect of maintaining extremely high levels of nucleotide polymorphism.

**Age of the HBB polymorphism:** The remarkably high levels of nucleotide polymorphism at the two β-globin paralogs suggests that the coalescence time of Hbb′ and Hbb′′ haplotypes may vastly exceed the coalescence times of other unlinked nuclear genes. The antiquity of the HBB′ and HBB′′ haplotypes is also indicated by the fact that the β-globin polymorphism predates the divergence of the subspecies M. m. domesticus and M. m. musculus (Selander et al. 1969a; Sage et al. 1986), which are thought to have diverged ~350,000–500,000 years ago (She et al. 1990; Suzuki et al. 2004). To determine the approximate age of the β-globin polymorphism, we estimated the time of divergence between the s- and d-type alleles of HBB-T1 by using a local molecular clock approach (Goodman 1986). As a calibration point, we used a range of Mus-Rattus divergence times spanning 15–20 MYA (Jacobs and Pileew 1980; Springer et al. 2003). To root the tree of Mus and Rattus HBB-T1 sequences, we used the HBB-T1 ortholog in guinea pig, Cavia porcellus, which we obtained from an unannotated genomic contig (GenBank accession no. AC181986_53698). As shown in Table 7, molecular clock estimates based on all 3 codon positions suggest that the s- and d-type alleles of *M. musculus* HBB-T1 are on the order of 1–2 MY old, and estimates based on 1st and 2nd codon positions suggest that the alleles are on the order of 3–4 MY old. These estimates are consistent with previous age estimates of 3–8 MY reported by Erhart et al. (1985).

The apparent antiquity of the β-globin haplotypes suggests that HBB-T1 and HBB-T2 would be outliers in a genome-wide distribution of coalescence times. However, it will be necessary to collect polymorphism data from additional unlinked autosomal loci to determine whether this is in fact the case. Surveys of nucleotide polymorphism among wild-derived inbred strains of house mice have revealed a surprisingly large number of ancestral polymorphisms that are shared among *M. musculus* subspecies (Ideraabdullah et al. 2004; Harr 2006). Of 569 biallelic SNPs and indel polymorphisms found among classical and wild-derived inbred strains of *M. musculus*, 37.8% of the variants arose prior to the divergence of different *M. musculus* subspecies (Ideraabdullah et al. 2004). These relatively ancient polymorphisms appear to be randomly distributed across the genome, and they represent 44.7% of sequence variants observed in pairwise comparisons between different *M. musculus* strains. Retention of ancestral polymorphism, in combination with introgressive hybridization, may account for the mosaic pattern of

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**TABLE 6**

<table>
<thead>
<tr>
<th>No. of sites</th>
<th>Coding</th>
<th>Polymorphism within <em>M. musculus</em></th>
<th>Divergence to Rattus*</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Total length</td>
<td>N</td>
<td>Nonsynonymous</td>
</tr>
<tr>
<td>HBA-T1</td>
<td>1468 (1225)</td>
<td>40</td>
<td>320.97</td>
</tr>
<tr>
<td>HBA-T2</td>
<td>1468 (1220)</td>
<td>38</td>
<td>320.19</td>
</tr>
<tr>
<td>HBB-T1</td>
<td>1477 (1574)</td>
<td>36</td>
<td>333.66</td>
</tr>
<tr>
<td>HBB-T2</td>
<td>1477 (984)</td>
<td>36</td>
<td>332.93</td>
</tr>
</tbody>
</table>

*Divergence estimates are Jukes-Cantor-corrected.

*Total number of sites excluding alignment gaps is given in parentheses.
genomic variation in some wild-derived inbred strains of *M. m. domesticus*. For example, Ideraabdullah et al. (2004) noted that although strain CALB/RkJ has been assigned to the *M. m. domesticus* subspecies, CALB/RkJ mice possess long-range haplotypes characteristic of *M. m. castaneus* on several different chromosomes.

**Altitudinal patterns of amino acid variation:** In our sample of mice, amino acid variation in the HBA-T1 gene was only present in the low-altitude sample from Lima and the ancestral protein allele was fixed in the high-altitude La Paz sample. Thus, even if the amino acid variation has important effects on hemoglobin function, the geographic pattern of variation in our sample does not suggest any obvious role in high-altitude adaptation. Likewise, patterns of amino acid variation at HBB-T1 and HBB-T2 are not consistent with divergent selection between high- and low-altitude populations. At HBB-T1 and HBB-T2, the *s* - and *d*-type alleles were present at roughly equal frequencies in the Lima and La Paz samples and levels of nucleotide differentiation were lower than those observed at the other polymorphic loci (Table 1). This result stands in stark contrast to patterns of α-globin variation in North American populations of deer mice, *Peromyscus maniculatus*. Deer mice possess three functionally distinct α-globin paralogs and each of the triplicated genes segregate functionally distinct alleles that exhibit pronounced allele frequency differences between high- and low-altitude populations (Snyder et al. 1988; Storz 2007; Storz et al. 2007). The physiological effects of α-globin variation in *P. maniculatus* contribute to fitness-related variation in aerobic performance (Chappell and Snyder 1984; Chappell et al. 1988; Hayes and O’Connor 1999), and the abrupt altitudinal shifts in allele frequencies appear to be attributable to spatially varying selection that drives the divergent fine-tuning of hemoglobin function between different elevational zones (Snyder 1981; Snyder et al. 1988; Storz et al. 2007). Although North American deer mice and South American house mice inhabit a similarly broad range of altitudes, deer mice are indigenous to montane regions and have therefore had a much longer period of time to adapt to the physiological rigors of life at high altitude. By contrast, house mice are not commonly found in high-altitude environments in their native range, and their recent colonization of high-altitude environments in the Andes may not have provided enough time for selection to produce adaptive modifications of hemoglobin function. Alternatively, selection may have simply found a different solution to the physiological challenges associated with high-altitude hypoxia that did not involve biochemical modifications of blood oxygen affinity. The α-globin paralogs of deer mice and the β-globin paralogs of house mice both appear to represent cases of long-term balanced polymorphism, but in each case the allelic variation appears to be maintained by different modes of balancing selection.

**Implications for the evolution of duplicated genes:** The fact that the two-locus *Hbb* and *Hbb'* haplotypes are present at intermediate frequencies in house mouse populations throughout the world suggests a paradox regarding the fixation and subsequent retention of duplicated genes by natural selection. Theory suggests that the functional divergence of duplicated genes may

![Figure 9](image-url)
typically be preceded and promoted by the adaptive maintenance of divergent alleles at the ancestral, single-copy gene (SPOFFORD 1969; WALSH 2003; PROULX and PHILLIPS 2006). The segregation load associated with overdominant selection can produce a substantial fitness advantage for newly arisen gene duplications because functional specialization of alternative alleles at the ancestral, single-copy gene is converted into a specialization of function between the two nascent paralogs (SPOFFORD 1969; PROULX and PHILLIPS 2006). If the duplication is preserved by selection that favors some form of physiological division of labor between the two functionally distinct paralogs, then the diversifying effects of this selection would be counteracted by the homogenizing effects of interparalog gene conversion. Thus, the fitness effects that promoted the original fixation and functional divergence of HBB-T1 and HBB-T2 should also favor the Hbb\textsuperscript{d} haplotype (which possesses a pair of functionally distinct paralogs) over the Hbb\textsuperscript{r} haplotype (which possesses a pair of identical paralogs). If the original duplication was fixed by positive selection, and if the Hbb\textsuperscript{d} haplotype represents the ancestral condition, why is the Hbb\textsuperscript{r} haplotype present at intermediate frequency in geographically disparate populations across the species’ range? A resolution of this apparent paradox will require a thorough characterization of β-globin polymorphism in the ancestral range of M. musculus, in addition to functional studies to elucidate the causes of fitness variation among the alternative two-locus β-globin genotypes. Surveys of β-globin variation in Old World M. musculus and other closely related species of Mus may also help to unravel the complex history of recombination and interparalog gene conversion between HBB-T1 and HBB-T2.

Another question of relevance to the evolution of duplicated genes concerns the mechanism that has enabled the two β-globin paralogs on the Hbb\textsuperscript{d} haplotype to escape from concerted evolution. ERHART et al. (1985) identified an extended stretch of simple-sequence repeats in intron 2 of HBB-T2 and suggested that this repeat array may promote the type of recombination event that results in gene conversion. This stretch of simple-sequence repeats is present on the Hbb\textsuperscript{r} haplotype but has been deleted from the Hbb\textsuperscript{d} haplotype. In fact, the location of this complex array of simple-sequence repeats corresponds to the region of unalignable sequence between nucleotide positions 827 and 1277 of HBB-T2. ERHART et al. (1985) argued that the deletion and/or disruption of this array of simple-sequence repeats helped produce a block of nonhomology between HBB-T1 and HBB-T2 on the Hbb\textsuperscript{d} haplotype that inhibits interparalog gene conversion. According to the hypothesis of ERHART et al. (1985), this intronic block of nonhomology between HBB-T1 and HBB-T2 on the Hbb\textsuperscript{d} haplotype provided a means of escape from concerted evolution and therefore facilitated functional divergence between the two paralogs.

**Functional significance of amino acid polymorphism:** The fact that alternative β-globin protein alleles are consistently maintained at intermediate frequencies across the species’ range suggests some form of balancing selection, and the strong LD between the two paralogs suggests the possibility that epistatic selection is maintaining coadapted combinations of alleles at HBB-T1 and HBB-T2. It is therefore important to determine the possible functional significance of the observed amino acid differences between the products of the s- and d-type β-globin alleles.

Studies of inbred strains and wild-caught mice have revealed that the β-chain products of the Hbb\textsuperscript{d} haplotype are associated with a significantly higher hemoglobin-oxygen affinity than the products of the Hbb\textsuperscript{r} haplotype (NEWTON and PETERS 1983). Mice that were Hbb\textsuperscript{r}/Hbb\textsuperscript{d} homozygotes were also characterized by higher hemoglobin and hematocrit levels than Hbb\textsuperscript{r}/Hbb\textsuperscript{r} heterozygotes or Hbb\textsuperscript{r}/Hbb\textsuperscript{d} homozygotes. Since Hbb\textsuperscript{r}/Hbb\textsuperscript{d} mice are characterized by especially high blood oxygen affinities, NEWTON and PETERS (1983) suggested that the higher hemoglobin and hematocrit levels in Hbb\textsuperscript{r}/Hbb\textsuperscript{d} homozygotes compensates for the reduced efficacy of tissue oxygenation. In an intensively studied island population of house mice in the North Atlantic, BERRY and MURPHY (1970) suggested that consistent seasonal shifts in β-globin genotype frequencies could be explained by selection in favor of Hbb\textsuperscript{r}/Hbb\textsuperscript{r} heterozygotes during the overwintering period followed by overdominance of fitness or selection in favor of Hbb\textsuperscript{r}/Hbb\textsuperscript{r} homoygotes during the summer breeding months. BERRY (1978) suggested that the fitness advantage of Hbb\textsuperscript{r}/Hbb\textsuperscript{d} mice during the overwintering period may be related to selection for increased thermogenic capacity during periods of severe cold stress. The fitness advantage of heterozygotes and/or Hbb\textsuperscript{r}/Hbb\textsuperscript{d} homozygotes during the summer breeding months is not clear.

It is also possible that the variation in hemoglobin-oxygen affinity is simply an indirect effect of genetically based variation in red blood cell metabolism. Mice carrying Hbb\textsuperscript{r} haplotypes produce a single β-globin with one reactive cysteine residue, 93(F9)Cys, whereas mice carrying Hbb\textsuperscript{d} haplotypes produce structurally distinct β-globins that each contain an additional reactive cysteine, 13(A10)Cys (Figure 3). These two additional reactive cysteine residues play a pivotal role in intraerythrocyte glutathione and NO metabolism (MIRANDA 2000; GIUSTARINI et al. 2006; HEMPE et al. 2007). As a result of allelic differences in the number of reactive β-chain sulphydryl groups, inbred strains that are Hbb\textsuperscript{r}/Hbb\textsuperscript{r} homozygotes have higher intracellular concentrations of S-glutathionyl hemoglobin and S-nitrosohemoglobin than Hbb\textsuperscript{r}/Hbb\textsuperscript{d} homozygotes (GIUSTARINI et al. 2006; HEMPE et al. 2007). The intraerythrocyte fraction of S-glutathionyl hemoglobin determines the availability of reduced glutathione as a substrate for enzymatic detoxification reactions (WU et al. 2004; RAHMAN et al.
2005) and Snitrosohemoglobin transports NO used in vasoregulation (Pawlowski et al. 2001; McMahon et al. 2005; Singel and Stamler 2005). In mice, genetically based variation in the concentration of reactive β-chain sulfhydryl groups influences intracellular reduction-oxidation balance and may therefore play a role in resistance to blood-borne pathogens (Gustarini et al. 2006; Hempt et al. 2007). Malaria parasites are highly sensitive to intraerythrocyte redox balance (Becker et al. 2004), and NO and oxygen radicals produced by macrophages play an essential role in host immune defense (Moncada et al. 1991). Interestingly, inbred strains of mice that are Hbb\textsuperscript{-}/Hbb\textsuperscript{-} homozygotes are generally more resistant to trypanosome infection and malaria infection by rodent plasmodia (Fortin et al. 2002; Duleu et al. 2004). Although a causal connection has yet to be established, the role of hemoglobin sulfhydryl groups on oxido-reductive reactions and blood-mediated metabolism of thiol reactants suggests the hypothesis that selection for resistance to blood-borne pathogens may play a role in the maintenance of β-globin polymorphism (Hempt et al. 2007), at least in some parts of the species' range.

Despite the well-documented functional differences between \(\alpha\) and \(\delta\)-type β-globin alleles, we cannot rule out the possibility that the unusually high nucleotide polymorphism and haplotype structure at house mouse β-globin genes is actually attributable to hitchhiking with a closely linked gene under balancing selection. In principle, a more extensive survey of LD across the β-globin gene cluster should reveal whether selection at linked sites is a plausible explanation for the observed patterns of variation.

**Conclusion:** In South American house mice, remarkably high levels of nucleotide polymorphism and LD at two closely linked β-globin paralogs are attributable to the segregation of two highly distinct sequence haplotypes. Levels and patterns of nucleotide polymorphism suggest a complex history of diversity-enhancing selection that may be responsible for long-term maintenance of the alternative protein alleles. Hemoglobin tetramers that incorporate products of the alternative two-locus β-globin haplotypes are associated with pronounced differences in gluthione and NO metabolism in red blood cells, suggesting a possible mechanism of selection on hemoglobin function. Additional work is required to determine whether the β-globin genes are in fact the true targets of selection.

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